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Substitute for form 1449A/B/PTO				Complete If Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Application Number	09/859,681
(Use as many sheets as necessary)				Filing Date	May 17, 2001
				First Named Inventor	Stuart A. Fraser et al.
				Art Unit	3622
				Examiner Name	James W. Myhre
Sheet	1	of	2	Attorney Docket Number	CF-007 Reissue

NON PATENT LITERATURE DOCUMENTS					
Examiner Initials'	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.			T ²
<i>JWJ</i>		eSpeed, Inc et al. v. Brokertec USA, L.L.C., D. Del., Civil Action No.: 03-612(KAJ), Plaintiffs' Opening Brief in Support of Their Motion for Judgment as a Matter of Law or, in the Alternative, for a New Trial, dated April 4, 2005			
		eSpeed, Inc et al. v. Brokertec USA, L.L.C., D. Del., Civil Action No.: 03-612(KAJ), Defendants' Opening Brief in Support of Motion for Judgment as a Matter of Law on Invalidity and Non-Infringement, dated April 4, 2005			
		eSpeed, Inc et al. v. Brokertec USA, L.L.C., D. Del., Civil Action No.: 03-612(KAJ), Defendants' Proposed Findings of Fact and Conclusions of Law, dated April 4, 2005			
		eSpeed, Inc et al. v. Brokertec USA, L.L.C., D. Del., Civil Action No.: 03-612(KAJ), Plaintiffs' Proposed Findings of Fact and Conclusions of Law, dated May 23, 2005			
		eSpeed, Inc et al. v. Brokertec USA, L.L.C., D. Del., Civil Action No.: 03-612(KAJ), Plaintiffs' Answering Brief in Opposition to Defendants' Motion for Judgment as a Matter of Law, dated May 23, 2005			
		eSpeed, Inc et al. v. Brokertec USA, L.L.C., D. Del., Civil Action No.: 03-612(KAJ), Brief in Opposition to Plaintiffs' Motion for Judgment as a Matter of Law or, in the Alternative, for a New Trial, dated May 23, 2005			
		eSpeed, Inc et al. v. Brokertec USA, L.L.C., D. Del., Civil Action No.: 03-612(KAJ), Plaintiffs' Objections to Defendants' Proposed Findings of Fact and Conclusions of Law, dated May 23, 2005			
		eSpeed, Inc et al. v. Brokertec USA, L.L.C., D. Del., Civil Action No.: 03-612(KAJ), Defendants' Reply in Support of Their Findings of Fact and Conclusions of Law, dated June 27, 2005			
		eSpeed, Inc et al. v. Brokertec USA, L.L.C., D. Del., Civil Action No.: 03-612(KAJ), Defendants' Reply Brief in Support of Motion for Judgment as a Matter of Law on Invalidity and Non-Infringement, dated June 27, 2005			
		eSpeed, Inc et al. v. Brokertec USA, L.L.C., D. Del., Civil Action No.: 03-612(KAJ), Plaintiffs' Reply Brief in Support of Their Motion for Judgment as a Matter of Law or, in the Alternative, for a New Trial, dated June 27, 2005			
		eSpeed, Inc et al. v. Brokertec USA, L.L.C., D. Del., Civil Action No.: 03-612(KAJ), Post-Trial Hearing, dated October 12, 2005			
		eSpeed, Inc et al. v. Brokertec USA, L.L.C., D. Del., Civil Action No.: 03-612(KAJ), Memorandum Order, dated December 5, 2005			
		eSpeed, Inc et al. v. Brokertec USA, L.L.C., D. Del., Civil Action No.: 03-612(KAJ), Post-Trial Findings of Fact and Conclusions of Law, dated February 22, 2006			
		eSpeed, Inc et al. v. Brokertec USA, L.L.C., D. Del., Civil Action No.: 03-612(KAJ), Final Judgment in a Civil Case, dated April 3, 2006			
<i>SJM</i>		eSpeed, Inc et al. v. Brokertec USA, L.L.C., D. Del., Civil Action No.: 03-612(KAJ), Plaintiffs' Trial Exhibit Evidence, PX 1, 3, 9, 11, 20, 24, 30, 33, 46, 63, 79, 81, 82, 92, 96, 99, 110, 112, and 752			
Examiner Signature	<i>Eric W. Stabler</i>			Date Considered	8/10/06

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Application Number	09/859,661
(Use as many sheets as necessary)				Filing Date	May 17, 2001
				First Named Inventor	Stuart A. Fraser et al.
				Art Unit	3622
				Examiner Name	James W. Myhre
Sheet	2	of	2	Attorney Docket Number	CF-007 Reissue

<i>EWS</i>	eSpeed, Inc et al. v. Brokertec USA, L.L.C., D. Del., Civil Action No.: 03-612(KAJ), Defendants' Trial Exhibit Evidence, DX 3, 9, 10, 12, 15, 16, 23, 23A, 24, 30-33, 36, 37, 41, 42, 46-48, 50, 52, 61-63, 66, 79, 99, 114, 115, 117, 119, 133, 145-147, 159, 182, 203, 235, 280, 288, 289, 293, 298, 303, 387, 398, 401, 441, 484, 488, 548, 551, and 554	
<i>EWS</i>	eSpeed, Inc et al. v. Brokertec USA, L.L.C., D. Del., Civil Action No.: 03-612(KAJ), Trial Transcripts, Trial Volumes 1-9, dated February 7-17, 2005	

ERIC W. STAMBER

SUPERVISORY PATENT EXAMINER

All References Have Been Considered: TECHNOLOGY CENTER 3600

Examiner

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 809. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English language Translation is attached.

Examiner Signature	<i>Eric W. Stamber</i>	Date Considered	8/10/06
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PTO/SB/08A (10-01)

Approved for use through 10/31/2002, OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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**SUPPLEMENTAL
INFORMATION DISCLOSURE
STATEMENT BY APPLICANTS**

(use as many sheets as necessary)

Sheet

1

of

1

Complete if known

Application Number	09/859,661
Confirmation No.	3933
Filing Date	May 17, 2001
First Named Inventor	Stuart A. Fraser et al.
Art Unit	3622
Examiner	James W. Myhre

Attorney Docket Number CF-007 Reissue

U.S. PATENT DOCUMENTS

Examiner initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Documents	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			

Examiner Signature

Date Considered

FOREIGN PATENT DOCUMENTS

Examiner initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Documents	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁴
		Country Code ¹ - Number ² - Kind Code ³				

NON PATENT LITERATURE DOCUMENTS

Examiner initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ⁴
EJ		APPENDIX A	
EJ		OTHER DOCUMENTS	
EJ		"Aurora," Chicago Board of Trade, 1990.	
EJ		"APT: A Trading System For The Future", The London International Financial Futures Exchange (LIFFE).	

Examiner Signature

Eric T. Hamble

Date Considered

8/10/06

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¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁴ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁵ Applicant is to place a check mark here if English language translation is attached.